





PATENT ATTORNEY DOCKET NO. DIVER1220-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Jay M. Short

Art Unit:

1655

Serial No.:

09/214,645

Examiner

B. Sisson

Filed:

September 27, 1999

Title:

METHOD OF DNA SHUFFLING WITH POLYNUCLEOTIDES PRODUCED

BY BLOCKING OR INTERRUPTING A SYNTHESIS OR AMPLIFICATION

PROCESS

Commissioner for Patents Washington, D.C. 20231

STATEMENT UNDER 37 C.F.R. §§ 1.821(f) and (g)

Sir:

I hereby state, as required by 37 C.F.R. § 1.821(f), that the content of the paper and computer readable copy of the Sequence Listing, submitted in accordance with 37 C.F.R. §§ 1.821(c) and (e), respectively, are the same.

I hereby state, as required by 37 C.F.R. § 1.821 (g), that the enclosed submission includes no new matter.

Respectfully submitted,

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Reg. No. 38,347

Attorney for Applicant

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on _______,

an envelope addressed to: Commissioner for Patents, Washington,

Gray Cary\GT\6186331.1 104703-156702





ATTORNEY DOCKET NO. D

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Assistant Commissioner for Patents Washington, D.C. 20231

VERIFIED STATEMENT UNDER 37 CFR § 1.821(f)

Sir:

I, Jennifer H. Payne, declare that I personally prepared the paper and the computerreadable copies of the Sequence Listing filed herewith in the above-entitled case and that the content of both is the same.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of The United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: June 2, 2000

Jennifer H. Payne

GRAY CARY WARE & FREIDENRICH LLP 4365 Executive Drive, Suite 1600 San Diego, California 92121-2189

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, 10-24-00, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

MARGARET (Name of Person mailing Paper